



HSBC Private Bank

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK  
ONE BOWLING GREEN  
NEW YORK, NY 10004-1408

Monaco, 9 November 2012

Hello,

Please find attached a claim transfer form for the partial transfer of claim from Citibank N.A to HSBC Private bank Monaco S.A.

Please kindly confirm receipt of this transfer form, and acceptance of the transfer of claim, to:  
Pbrs.mco.ost@hsbcpb.com

Thanks and best regards  
Gérôme VICENTE/ Gaelle MIGANI  
Securities department  
HSBC Private Bank Monaco S.A



HSBC PRIVATE BANK (MONACO) S.A.

Tel +377 93 15 25 25  
Fax +377 93 15 25 00

HSBC Private Bank (Monaco) S.A.  
17, avenue d'Ostende  
MC 98000 Monaco

INTERNAL

[www.hsbcprivatebank.com](http://www.hsbcprivatebank.com)

Société Anonyme Monégasque au capital de 151 001 000 €  
R.C.I. 97 S 03269, D.S.E.E. 651 C 07583, Swift BLICMCM  
Siège Social 17, avenue d'Ostende - MC 98000 Monaco

RECEIVED  
NOV 26 2012  
U.S. BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

**HSBC PRIVATE BANK MONACO S.A.**

— Name of Transferee

**Citibank, N.A.**

— Name of Transferor

Name and Address where notices to transferee should be sent:

17 AVENUE D'OSTENDE  
MC 98000 MONACO  
Attn: GEROME VICENTE  
Phone: +377 93 15 24 23  
Email: gerome.vicente@hsbcpb.com

Court Claim # (if known): 55393  
Total Amount of Claim Filed: \$70,282,561  
Amount of Claim Transferred: \$250,000  
ISIN/CUSIP: XS0353301947  
Blocking Number:  
Date Claim Filed: October 29, 2009  
  
Phone: 212-373-3000  
Last Four Digits of Acct #:

Name and address where transferee payments should be sent (if different from above):

EUROCLEAR BANK SA/ NV ,  
1 boulevard du Roi Albert II , 1210 Brussels,  
BELGIUM  
Account 97797 ( BIC BANKcode :  
MGTCBEBE)

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: **HSBC PRIVATE BANK MONACO S.A.**  
Transferee/Transferee's Agent

Date: 4<sup>th</sup> October 2012

~~INTERNAL - Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571.~~

~~E. DUPUY  
General Counsel~~

~~G. VICENTE  
Head of Securities Department.~~

Form 210B (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**NOTICE OF PARTIAL TRANSFER OF CLAIM  
OTHER THAN FOR SECURITY**

Claim No. 55393 was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Partial Transfer of Claim Other than for Security in the clerk's office of this court on October 1, 2012.

Citibank, N.A.

Name of Alleged Transferor

Address of Alleged Transferor:

Citibank, N.A.  
601 Lexington Avenue, 17<sup>th</sup> Floor  
New York, New York 10022  
Attn: Maria Elizabeth Coll

With a copy to:

Paul, Weiss, Rifkind, Wharton & Garrison LLP  
1285 Avenue of the Americas  
New York, New York 10019  
Attn: Douglas R. Davis  
Phone: 212-373-3000  
Email: ddavis@paulweiss.com

HSBC PRIVATE BANK MONACO S.A.

Name of Transferee

Address of Transferee:

17 AVENUE D'OSTENDE  
MC 98000 MONACO  
Attn: GEROME VICENTE  
Phone: +377 93 15 24 23  
Email: gerome.vicente@hsbcpb.com

**DEADLINE TO OBJECT TO TRANSFER**

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty-one (21) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**